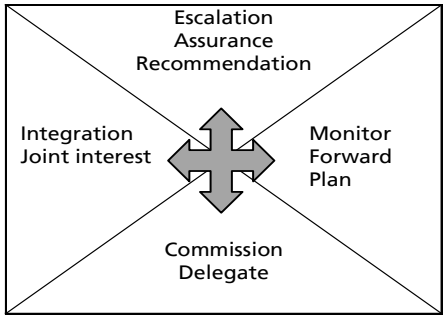
The Queen Elizabeth Hospital NHS
King's Lynn
NHS Foundation Trust

Agenda Item No.6.



Chair's Key Issues and Assurance model

Committee / Sub-committee	Date	Chair
Audit Committee	**4th July 2012**	**Neil Harrison (NED)**

KEY		***Escalated for Board / Committee consideration/decision***	✓	***Supporting papers – Board Committee Members will have access to these papers from previous circulations. Paper copies will however be prepared for use at the Board / Committee receiving the CKIs.***

Agenda Item	Issue and lead officer	Receiving body, e.g. Board, Committee		Recommendation / Assurance / Mandate to receiving body	Additional Papers
9	Internal Audit Interim Report – D Stonehouse		BoD July 2012 Audit Oct 2012	**Board to note:** Internal Audits: • Consultant Job Plans – Opinion - 'Good' • Patient Travel Claims – Opinion – 'Good' • Business Cases – Opinion – 'Good' • CQC Compliance Monitoring – Accommodation / Equipment – Opinion – 'Satisfactory'. Recommendations include the inclusion of suitable evidence on the database, the completion of the self-assessment action plans and cross-referencing the risk register to the CQC Standards where appropriate. • TEPs – Opinion – 'Satisfactory'. No recommendations as the Trust is moving from TEP to BSP this year and the BSP will be audited later in the year. However, the committee agreed that the learning should not be lost e.g. importance of detailed monitoring against milestones. **Audit Committee to monitor** audit recommendations delivery	

Agenda Item	Issue and lead officer	Receiving body, e.g. Board, Committee		Recommendation / Assurance / Mandate to receiving body	Additional Papers
10	Internal Audit Strategic Audit Plan – D Stonehouse		BoD July 2012	**Board to Note:** The Internal Audit Strategic Audit Plan has been aligned with the Board Assurance Framework and has been endorsed by the Audit Committee.	
15	The Transitional BAF 2012/13 – G Rejzl		BoD July 2012	**Board to note:** The Audit Committee reviewed the key controls and sources of assurance described in the Transitional BAF. The Audit Committee endorsed the development of the transitional BAF.	