The Queen Elizabeth Hospital King's Lynn
NHS Foundation Trust

REPORT TO THE RISK COMMITTEE

RESPONSIBLE DIRECTOR:	REPORT FOR:		IMPACT ON BUSINESS:		
Dr Nick Lyons Medical Director	Decision		High	Med	Low
	Discussion	√	√		
	Information	√			
LEAD MANAGER:			**BAF REFERENCES & RAG:**		
Mr Mark Rose Interim Head of Risk and Governance Deborah Mokate Risk and Safety Manager	Strategic	√			
	Operational	√			
	Governance	√	**RELATED WORK: (PREVIOUS PAPERS TO COMMITTEE)**		
PEER ASSIST:	**PEER REVIEW:**				
Data prepared by Jamie Tear – Datix coordinator					
CQC Domain: (safe, caring, effective, responsive, well-led)	All				

Meeting Date: 29 May 2018
Report Title: Risk Register 15+ and pending risks

PURPOSE:

The purpose of this paper is to update the committee on critical risks (those rated as 15+) along with the details of mitigations in place. Also to report on pending risks yet to be checked and confirmed.

SUMMARY:

The Governance team continues to work to embed and strengthen the oversight and management of risks at Divisional level.

- There are currently 15 open critical risks scoring 15+.
- Since the last report was run in April 2018:
  - 1 High risk has been removed from the 15+ risk register. This was downgrade to a Moderate.

Corporate - Medical							
	Title	Dec-17	Jan-18	Feb-18	Mar-18	Apr-18	May-18
2399	Inability to deliver a well led and capable workforce	16	16	16	16	16	12

  - 1 High risk has been added to the register. This was an increase in grading after review from Moderate to High.

Med 1 + 2							
	Title	Dec-17	Jan-18	Feb-18	Mar-18	Apr-18	May-18
2321	Paediatric staffing in Emergency Department	9	9	9	9	9	15

FINANCIAL IMPLICATIONS / EFFICIENCY SAVINGS / QUALITY IMPROVEMENT:

RISK ASSESSMENT (CROSS-REFERENCE WITH RISK REGISTER WHERE APPROPRIATE):					
Strategic / External	**Operational/ Organisational**	**Financial**	**Clinical**	**Legal/ Regulatory**	**Reputational / Patient Experience**
✓	✓			✓	✓

RECOMMENDATIONS:

- Review progress since the last report
- Note actions and suggest further work

1.0 Introduction

The Risk and Governance team have completed reviews of all 15+ risks. The risk register now reflects the current position in relation to high and significant risks.

- There are currently 15 open critical risks scoring 15+. This is a significant reduction from the previous months.

2.0 Risk Changes

Since the last meeting of the Committee the risks continue to be managed and mitigation and action to reduce likelihood is put in place. Table 1 shows where changes to scores have occurred since December 2017.

	Title	Dec-17	Jan-18	Feb-18	Mar-18 / Apr-18	Apr-18	May-18
CBU 1							
2306	Main theatres skill mix	15	15	15	15	15	15
2338	Treatment Room in Day Surgery Temperature Control	9	9	16	16	16	16
2387	Discharge from Critical Care delayed more than 4 hours resulting in emsa Breach and delayed admission	16	16	16	16	16	16
CBU 2							
2257	Consistency of protected Ring-fenced Trauma beds on Gayton	12	12	12	15	15	15
CBU 4							
2337	Scans are performed but not recorded on PACS so not visible to other Providers leading to possible adverse care.	20	20	20	16	16	16
2371	Vulnerable patients acquiring HAI with unusual organisms due to Non Compliance of Neonatal Unit Ventilation with HTM03-01	15	15	15	15	15	15
CBU 7							
2199	Failure to achieve 4 hour standard target	16	16	16	16	16	16
2321	Paediatric staffing in Emergency Department	9	9	9	9	9	15
Estates & Facilities							
392	Roof Integrity and building infrastructure	16	16	16	15	15	15
1938	Fire Compartmentation & Fire Detection	15	15	15	15	15	15

Finance							
2428	Failure to achieve Trust Control Total	n/a	n/a	15	15	15	15
2430	Capital loan financing 2018-19	n/a	n/a	15	15	15	15
IM&T							
2343	The threat of the Trust's day to day operations being compromised by Cyber Crime	16	16	16	16	16	16
Corporate - Nursing							
1025	Challenges to achieve sufficient registered nurse recruitment & retention (previously ref. 0210)	16	16	16	16	16	16
Trust Wide							
956	Failure to meet 18 week pathway and loss of income.	16	16	16	20	20	20

3.0 Pending risks (item 6b on agenda)

There are currently 36 pending risks, (as at 21/05/2018) that are awaiting review and sign off. (Attached as appendix 2)

	Total
Finance	7
CBU 8	5
CBU 3	4
IM&T	3
Facilities	3
CBU 1	3
CBU 7	2
CBU 6	2
Trust Wide	2
Corporate Nursing	3
Estates	1
Patient Experience	1
Total	**36**